

NOAAFISHERIES

NW Fisheries
Science Center

Peer Review Process Review

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Overview

- Objectives and Goals
- Stock assessment review (STAR) panel process
- SSC groundfish subcommittee review process
- SSC review process
- Characteristics of the review process
- Strengths, Challenges, Solutions

Objectives and Goals

- Meet the mandates of the MSA;
- Independent external review;
- Ensure assessments represent best available scientific information;
- Provide clear and concise results to facilitate adoption of OFLs,
 ABCs, ACLs, and other appropriate management measures;
- Provide measure of assessment uncertainty for determining the scientific buffer between the OFL and ABC
- Increase understanding and acceptance of stock assessments and peer reviews by the Council, industry, and interested parties;
- Identify research needed to improve assessments and management;
- Provide for efficient use of assessment and review resources.



Roles and Responsibilities

- SSC:
 - Provide STAR panel chair (and, occasionally, second reviewer)
 - Review post-STAR assessment document and STAR panel report
 - Provide advice to Council
- SSC groundfish subcommittee:
 - Review update and data poor assessments, rebuilding analyses and any assessments sent to end-of-year panel
- Pacific Fishery Management Council:
 - Provide GMT and GAP STAR panel representatives
 - Council staff member to advise STAR panel/end-of-year panel
- Science Centers:
 - Logistics for STAR panels and reviewers



STAR panel process

- The Stock Assessment Review (STAR) panel conducts a detailed technical evaluation of benchmark assessments.
- Transparent, rigorous and independent review.
- Two species per STAR panel for benchmark assessments (in general)
- Many more data-moderate assessments can be reviewed (4-8) in a single STAR panel

STAR chair responsibilities

- Ensure participants follow Terms of Reference (TORs).
- Keep panel in review mode, not workshop mode.
- Guide STAR panel and stock assessment team to mutually agreeable solutions to issues.
- Coordinate review of revised document following STAR panel.

STAR reviewer selection

- Mix of internal and external reviewers
 - Chair of panel is SSC member
 - CIE reviewers (usually two)
 - Endevour to have one CIE reviewer across all STAR panels in a single year
 - One or more other reviewers with knowledge of west coast fisheries and/or modeling approaches
 - GMT and GAP representatives and Council staff member attend STAR panel



STAR panel review

- Ensure assessments represent best available scientific information
 - Request and review additional runs with alternative assumptions/approaches
 - Request and review additional diagnostics
- Identify research needed to improve assessment and management

Reviews by SSC groundfish subcommittee

- Updates
 - Make sure no changes to model
 - Check to see if any substantial changes in data (other than new years of data)
 - Compare outcome and diagnostics of update with previous full or update assessment
- Data-poor assessments
 - Review:
 - Choice of data-poor model
 - Justification for Bayesian priors



End-of-year Panel

- Occurs on an as-needed basis
- Groundfish Subcommittee + 1 CIE reviewer review:
 - Assessments sent to panel by SSC and/or Council
 - Full assessments have previous STAR-panel review.
 - As would any data-moderate assessments sent here.
 - Updates have previous SSC GG subcommittee review.
 - As would any data-poor assessments sent here.
 - Main issues are laid out from previous reviews and Council direction.
 - Entirety of assessments are reviewable, as STAR panel.



Review of Rebuilding Analyses

SSC Groundfish Subcommittee

or

End-of-year panel review:

- Rebuilding Program
- Assumptions
- Approach to uncertainty (Bayesian posterior, profile over a parameter, decision table)

SSC review

- Final check on assessment
- Review STAR panel report and rationale for conclusions and recommended research
- Final recommendation to Council

Benchmark, Data-Moderate and Update Assessment **Review Timeline** If serious **STAR** panel: issues, more Technical review; modeling may Alt. model exploration; be requested SSC Endorse a base model. for Mop-up review of review update; Council Post-STAR adoption Update doc revision rebuilding SSC review of analysis, if rebuilding & SSC review of needed Rebuilding Mop-up review STAR report and analysis assessment; (new or Council adoption update) Yes Council adoption Rebuilding analysis? Post-review doc revision No



Final Reports

Review characteristics

- Avoidance of conflict-of-interest
 - CIE vigilant about conflict-of-interest
 - Other Non-federal reviewers screened according to NOAA policy;
- Independence
 - SSC members who work in the same division/office as assessments authors are precluded from serving as reviewers
 - STAR panel members recuse themselves at SSC meetings
- Transparency
 - Review meetings noticed in the federal register and on PFMC website
 - Documents available to reviewers and public two weeks prior to review
 - Time is set aside for public comment at all meetings.



Review characteristics

Thoroughness

• Entirety of draft models and documents reviewed by STAR panel and SSC. GMT, CAP and Council staff representatives help ensure raw data are correct and assumptions are reasonable.

Efficiency

- The STAR panel takes place over a single week. The STAR reports and revised draft assessments are available and reviewed at the next Council meeting.
- Overall Quality of Review
 - Independent, knowledgeable and engaged reviewers in the STAR and Council process, and the multi-layered review ensure thorough and high-quality reviews.

Review characteristics

Throughput

- STAR-process output is highly constrained by available:
 - Reviewers
 - Scheduling constraints
 - May July window



The Disappearing Review Window

2015 STAR Panel Schedule - Discussion Draft

April								
Su	Мо	Tu	We	Th	Fr	Sa		
			1	2	3	4		
5	6	7	8	9	10	11		
12	13	14	15	16	17	18		
19	Xo	X	X	X	X	25		
26	27	28	29	30				

May							
Su	Мо	Tu	We	Th	Fr	Sa	
					1	2	
3	X	X	X	X	X	9	
10	X	×	13	×	1	16	
17	18	19	20	21	22	23	
24	25	*	×	28	2 4	30	
31							

June							
Su	Мо	Tu	We	Th	Fr	Sa	
	1	2	3	4	5	6	
7	8	9	10	11	12	13	
14	15	16	17	18	19	20	
21 28	22 X 9	23 X	24	25	26	27	

			July			
Su	Мо	Tu	We	Th	Fr 3	Sa 4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August							
Su	Мо	Tu	We	Th	Fr	Sa	
						1	
2	X	X	X	X	X	8	
9	X	X	×	×	1	15	
16	X	X	X	X	X	22	
23	X 4	X	X	X	X	29	
30	31	-	-	-			

September							
Su	Мо	Tu	We	Th	Fr	Sa	
		1	2	3	4	5	
6	(7)	8	9	10	11	12	
13	14	15	16	17	18	19	
20	21	22	23	24	25	26	
27	28	29	30				
Too early too late							

Too early – too late

No 4-day weeks No BB weeks

BB lead time

Council meeting



Briefing Book Deadline for next Council meeting

Proposed STAR Panel Week



Holiday

Strengths, Challenges and Solutions

Strengths

 Thorough, independent, transparent reviews meeting the requirements of the MSA and providing information necessary for decision making

Challenges

 Limited number of slots to review benchmark assessments in STAR panels limits number of adequate assessments that can be reviewed each year

Solutions

- Data moderate assessments require less review time
- Updates allow for renewing benchmarks periodically
- Continually investigating new methods/approaches

